U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

|   | Substitute for form 1449/PT |                      |                        | Complete if Known    |  |  |
|---|-----------------------------|----------------------|------------------------|----------------------|--|--|
|   |                             |                      | Application Number     | T                    |  |  |
|   | INCORMATIO                  | NI DISCLOSURE        | Filing Date            |                      |  |  |
|   |                             | ON DISCLOSURE        | First Named Inventor   | James Anthony Maffei |  |  |
|   | STATEMENT                   | BY APPLICANT         | Art Unit               |                      |  |  |
|   | (Use as many                | sheets as necessary) | Examiner Name          |                      |  |  |
| _ | heet 1                      | of  2                | Attorney Docket Number | 02-090               |  |  |

|                       |              |   | U. S. PATEN                 | DOCUMENTS  |  |
|-----------------------|--------------|---|-----------------------------|--|--|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number                         | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant |
|                       | <u> </u>     | Number-Kind Code <sup>2</sup> (f known) | <u> </u>                    |  | Figures Appear   |
|                       | 1            | <sup>US-</sup> 6,357,172 B1             | 03-19-2002                  | Risgaard et al.                                    |  |
|                       | 2            | <sup>US-</sup> 6,061,957                | 05-16-2000                  | Takashima  | L  |
|                       | 3            | <sup>US-</sup> 5,709,064                | 01-20-1998                  | Swartz   |  |
|                       | 4            | <sup>US-</sup> 5,241,782                | 09-07-1993                  | McCarthy et al.                                    |  |
|                       | 5            | <sup>US-</sup> 4,856,228                | 08-15-1989                  | Robinson, Sr.                                      |  |
|                       | 6            | <sup>US-</sup> 4,837,990                | 06-13-1989                  | Peleg  |  |
|                       | 7            | <sup>US-</sup> 4,825,600                | 05-02-1989                  | Osgood, Sr.  |  |
|                       | 8            | <sup>US-</sup> 4,768,307                | 09-06-1988                  | Holowecky  |  |
|                       | 9            | <sup>US-</sup> 4,665,646                | 05-19-1987                  | Anderson   |  |
|                       | 10           | <sup>US-</sup> 4,304,068                | 12-08-1981                  | Beder  |  |
|                       | 11           | <sup>US-</sup> 4,296,568                | 10-27-1981                  | Dukes  |  |
|                       | 12           | <sup>US-</sup> 4,186,520                | 02-05-1980                  | Alper et al.                                       |  |
|                       | 13           | <sup>US-</sup> 4,020,591                | 05-03-1977                  | Seffinga et al.                                    |  |
|                       | 14           | <sup>US-</sup> 3,812,616                | 05-28-1974                  | Koziol   |  |
|                       | 15           | US- 3,800,468                           | 04-2-1974                   | de Graff   |  |
|                       | 16           | <sup>US-</sup> 3,765,134                | 10-16-1973                  | Gilchrist  |  |
|                       | 17           | <sup>US-</sup> 3,701,241                | 10-31-1972                  | Zuckerman  |  |
|                       | 18           | <sup>US-</sup> 2,832,178                | 04-29-1958                  | Arthurs  |  |
|                       | 19           | <sup>US-</sup> 2,752,928                | 07-03-1956                  | Barker   |  |

| FOREIGN PATENT DOCUMENTS |              |   |                     |  |   |   |  |  |
|--------------------------|--------------|---|---------------------|--|---|---|--|--|
| Examiner Initials*       | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |   |  |  |
|                          |              | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T |  |  |
|                          | 1            | GB 2104366 A  | 03-09-1983          | MacMillan Bloedel                                  |   |   |  |  |
|                          | 2            | JP 11-196687  | 07-27-1999          |  |   |   |  |  |
|                          | 3            | JP 5-336857   | 12-21-1993          |  |   |   |  |  |
|                          | 4            | JP 64-43141   | 02-15-1989          |  |   |   |  |  |
|                          | 5            | WO 86/04210   | 07-31-1986          | Richard  |   |   |  |  |
| ,                        |              |   |                     |  |   | Г |  |  |

|           |   |   | <br> | <br> | <br>       | <br> |
|-----------|---|---|------|------|------------|------|
| Examiner  |   | _ | <br> |      | Date       |      |
| Signature | İ |   |      |      | Considered |      |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

| Substitute for form | 1 1449/PTO                            |                        | Complete il Milotti  |  |  |  |
|---------------------|---------------------------------------|------------------------|----------------------|--|--|--|
|                     |                                       | Application Number     |                      |  |  |  |
| INFORM              | IATION DISCLOSURE                     | Filing Date            |                      |  |  |  |
|                     | · · · · · · · · · · · · · · · · · · · | First Named Inventor   | James Anthony Maffei |  |  |  |
|                     | MENT BY APPLICANT                     | Art Unit               |                      |  |  |  |
| (Use                | as many sheets as necessary)          | Examiner Name          |                      |  |  |  |
| not 2               | of 2                                  | Attorney Docket Number | 02-090               |  |  |  |

|                       |                          |  | U. S. PATENT                   | T DOCUMENTS  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       | 20                       | <sup>US-</sup> 1,916,868                                 | 07-04-1933                     | Starks   |   |
|                       | 21                       | <sup>US-</sup> 1,904,700                                 | 04-18-1933                     | Starks   |   |
|                       | 22                       | <sup>US-</sup> 963,099                                   | 07-05-1910                     | Wells  | 100   |
|                       |                          | US-  |                                |  |   |
| ··                    |                          | US-  | W-1                            |  |   |
|                       |                          | US-  |                                |  |   |
|                       |                          | US-  |                                | 100  |   |
|                       |                          | US-  |                                |  |   |
| -                     |                          | US-  |                                |  |   |
|                       |                          | US-  |                                |  |   |
|                       | ···                      | US-  |                                |  | · ·   |
|                       |                          | US-  |                                |  |   |

|                       |              | FORE  | <b>IGN PATENT DOCU</b> | MENTS  |   |                |
|-----------------------|--------------|---|------------------------|--|---|----------------|
| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date    | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
| <del></del>           |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                        |  | Or Relevant Figures Appear                        | T <sup>6</sup> |
|                       |              |   |                        |  |   |                |
|                       |              |   |                        |  |   | ┣—             |
|                       |              |   |                        |  |   | ┢              |
|                       |              |   |                        |  |   |                |
|                       |              |   |                        |  |   | 一              |

| Examiner  | Date          |   |
|-----------|---------------|---|
| Signature | Considered    | 1 |
| -         | 03/13/130/130 | 1 |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.